

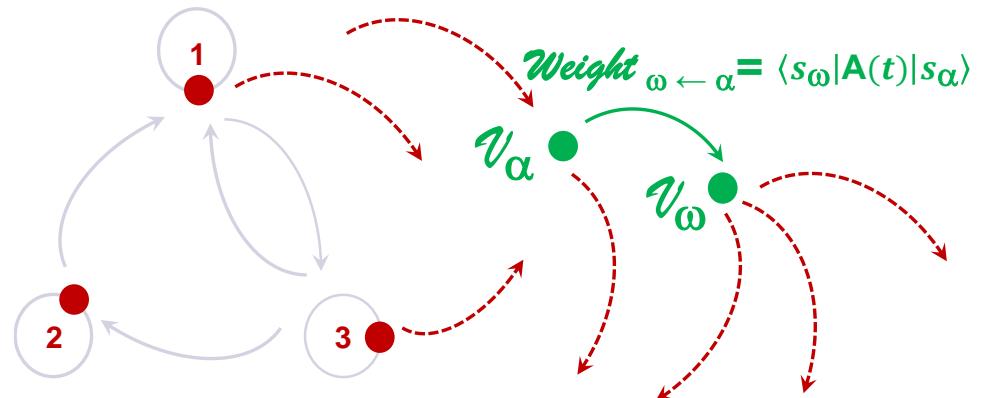
# A New Approach of Ordered Exponential in NMR: the Path-Sum

C. Bonhomme<sup>1</sup>, P.-L. Giscard<sup>2</sup>

<sup>1</sup> Laboratoire de Chimie de la Matière Condensée de Paris, Sorbonne Université, Paris, France

<sup>2</sup> Laboratoire Joseph Liouville, Université du Littoral Côte d'Opale, Calais, France

[christian.bonhomme@upmc.fr](mailto:christian.bonhomme@upmc.fr)



60th Experimental Nuclear Magnetic Resonance Conference

April 7 - 12, 2019

Asilomar Conference Center, Pacific Grove, California

# General context – The evolution operator $\mathbf{U}(t)$

---

Dyson time-ordering operator

$$\mathbf{U}(t', t) = \mathbf{OE}[-i H(t', t)] = T \exp(-i \int_t^{t'} H(\tau) d\tau)$$

$$\mathbf{U}(\tau_c) = \exp\left(-i\tau_c \sum_{n=0}^{\infty} \overline{H^{(n)}}\right)$$

$$\bar{H} = {}^{(0)}\hat{H} - \frac{1}{2} \sum_{n \neq 0} \frac{\left[ {}^{(-n)}\hat{H}, {}^{(n)}\hat{H} \right]}{n\omega_m} + \frac{1}{2} \sum_{n \neq 0} \frac{\left[ \left[ {}^{(n)}\hat{H}, {}^{(0)}\hat{H} \right], {}^{(-n)}\hat{H} \right]}{(n\omega_m)^2}$$

Magnus

Floquet

G. Floquet, *Ann. Sci. Ecole Norm. Sup.*, 1883

F.J. Dyson, *Phys. Rev.*, 1949

W. Magnus, *Pure Appl. Math.*, 1954

F. Fer, *Bull. Classe Sci. Acad. Roy. Bel.*, 1958

J.H. Shirley, *Phys. Rev.*, 1965

U. Haeberlen, J.S. Waugh, *Phys. Rev.*, 1968

M.M. Maricq, *Phys. Rev.*, 1982

S. Vega, E.T. Olejniczak, R.G. Griffin, *J. Chem. Phys.*, 1984

I. Scholz, B.H. Meier, M. Ernst, *J. Chem. Phys.*, 2007

M. Leskes, P.K. Madhu, S. Vega, *Progress in NMR Spect.*, 2010

M. Goldman, P. J. Grandinetti, A. Llor *et al.*, *J. Chem. Phys.* 1992

E.S. Mananga, *Solid State NMR*, 2013

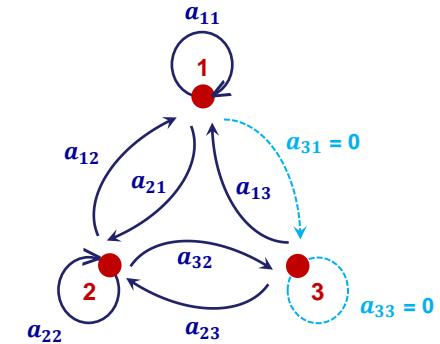
K. Takegoshi, N. Miyazawa, K. Sharma, P. K. Madhu, *J. Chem. Phys.*, 2015

...

## Outline

---

### ■ Basic results of algebraic graph theory

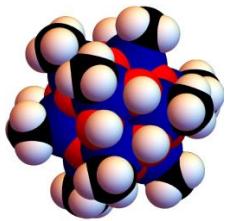


### ■ Path-Sum applied to Ordered Exponential (OE)

$$OE[\mathbf{A}](t', t) = \begin{pmatrix} \int_t^{t'} G_{K_2,11}(t', \tau) d\tau & OE_{12}(t', t) \\ OE_{21}(t', t) & \int_t^{t'} G_{K_2,22}(t', \tau) d\tau \end{pmatrix}$$

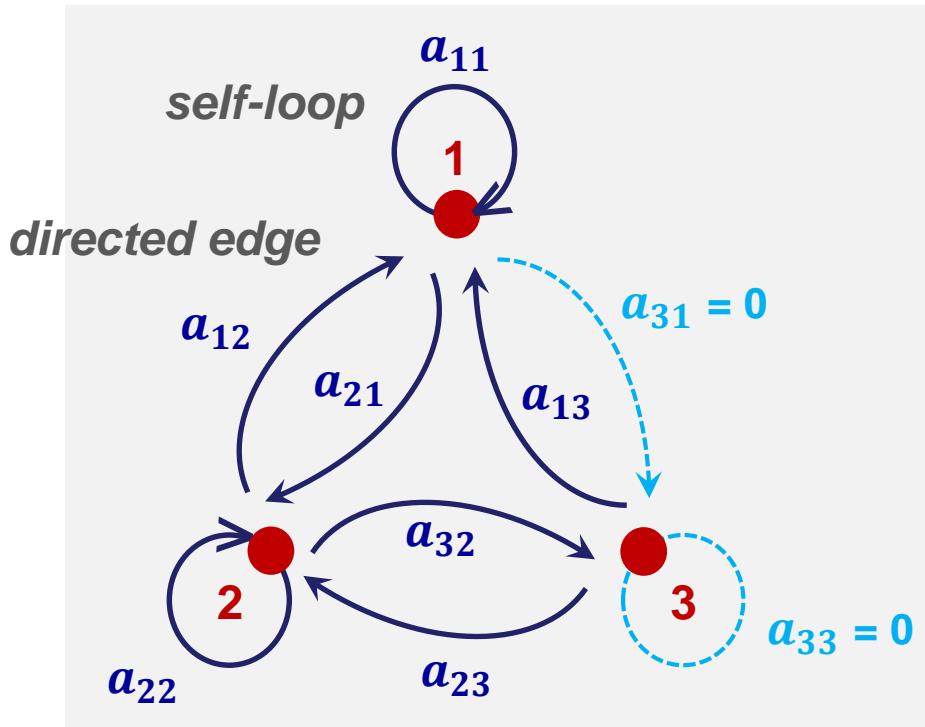
### ■ Applications:

- ▶ Circularly polarized excitation
- ▶ Linearly polarized excitation, Bloch-Siegert (BS) effect
- ▶ N spins: homonuclear dipolar Hamiltonian,  $H_D$



# Basic results of algebraic graph theory

$$G = (\mathcal{V}\text{ertex set}, \mathcal{E}\text{dge set})$$



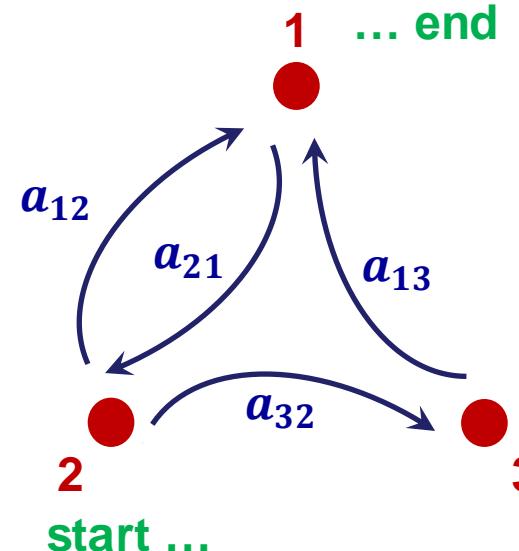
ex.: walk  $\mathcal{W}_{1 \leftarrow 2}$  (from  $\mathcal{V}_2$  to  $\mathcal{V}_1$ ) of length 4

Adjacency *finite* matrix  $A_G$

$$A_G = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ 0 & a_{32} & 0 \end{pmatrix}$$

↓

entry: weight on a *directed edge*

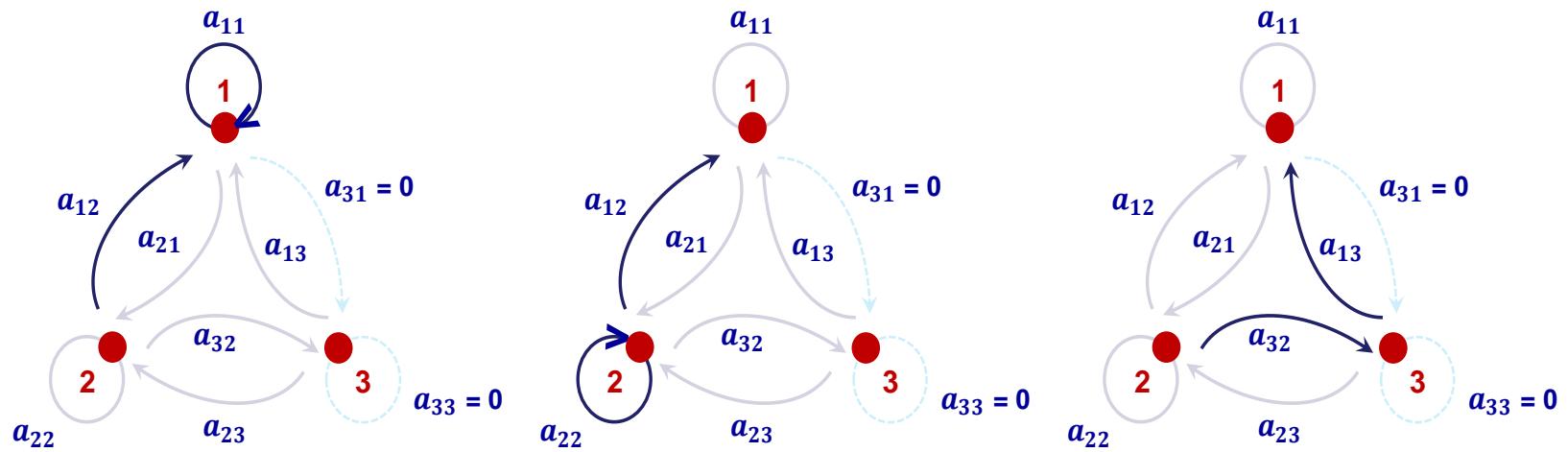


# Basic results of algebraic graph theory

the **powers** of the **Adjacency** matrix  $A_g$  on a graph  $\mathcal{G}$  generate  
ALL **weighted WALKS**  $\mathcal{W}$  on  $\mathcal{G}$

$$A_g^2 = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ 0 & a_{32} & 0 \end{pmatrix}^2 = \begin{pmatrix} \vdots & \ddots & \vdots \end{pmatrix} \quad a_{11} \times a_{12} + a_{12} \times a_{22} + a_{13} \times a_{32}$$

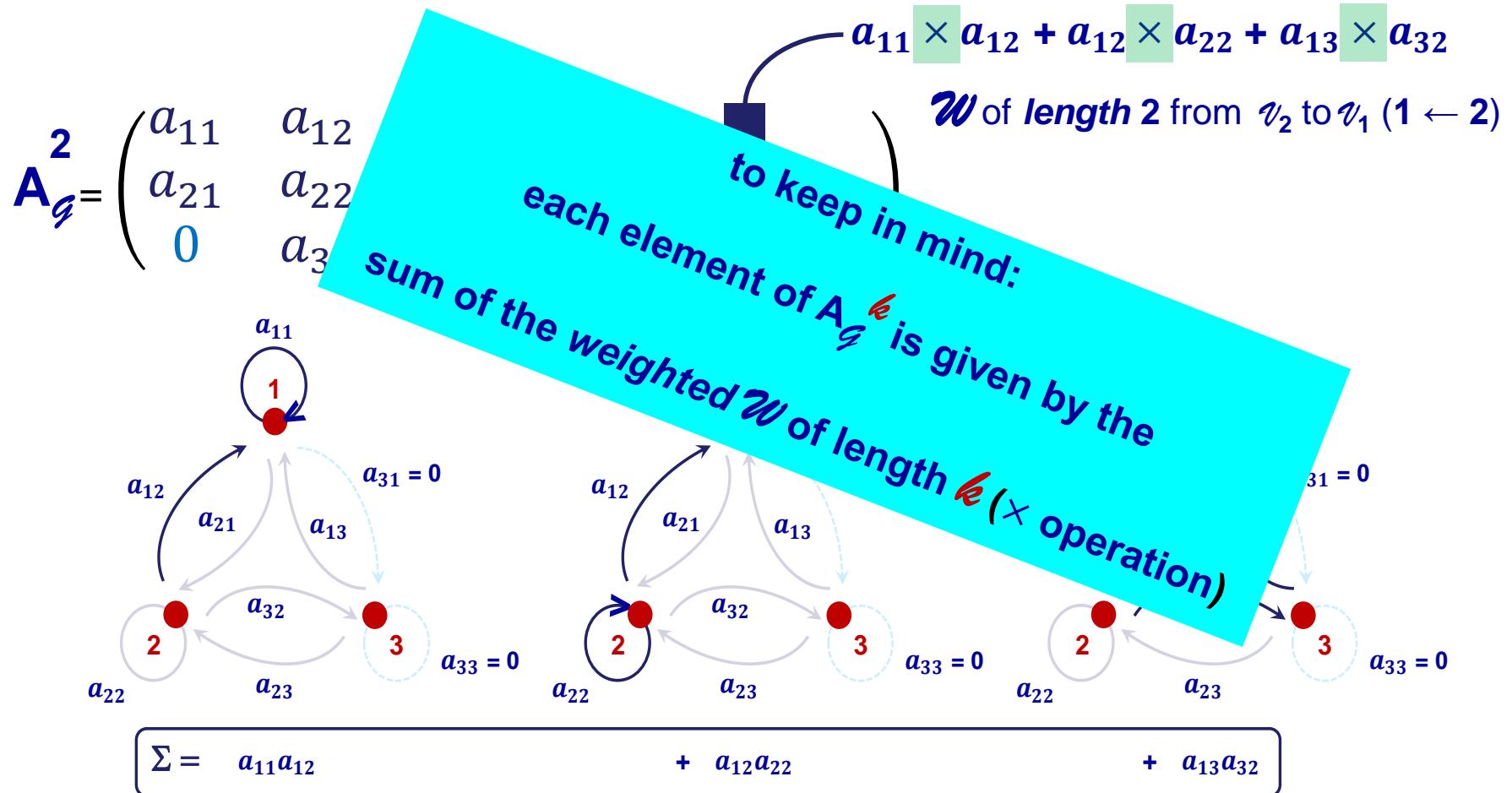
$\mathcal{W}$  of **length 2** from  $v_2$  to  $v_1$  ( $1 \leftarrow 2$ )



$$\Sigma = a_{11}a_{12} + a_{12}a_{22} + a_{13}a_{32}$$

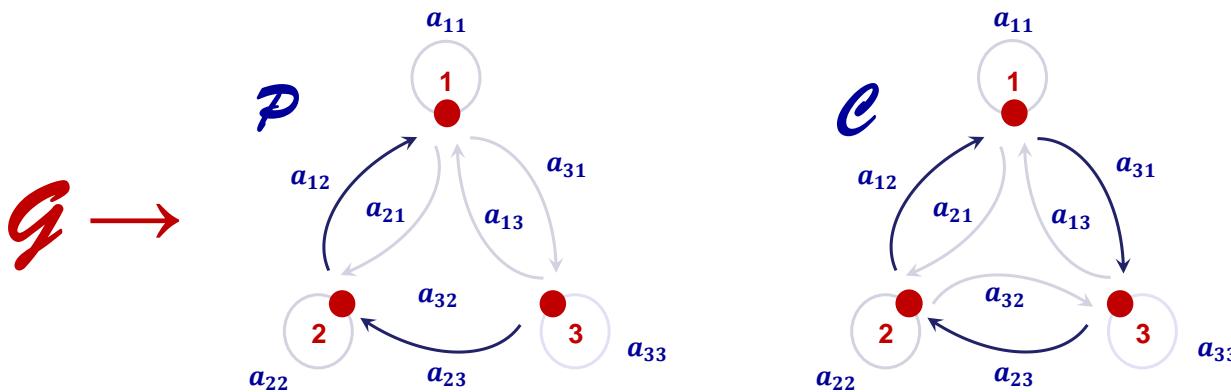
# Basic results of algebraic graph theory

the **powers** of the **Adjacency** matrix  $A_g$  on a graph  $\mathcal{G}$  generate  
**ALL weighted WALKS  $\mathcal{W}$  on  $\mathcal{G}$**



## Path-Sum

- ◊ **simple path  $\mathcal{P}$**  (self avoiding walk):  $w$  whose  $v$  are all **distinct**
- ◊ **simple cycle  $\mathcal{C}$**  (self avoiding polygon):  $w$  whose **endpoints** are **identical** and intermediate  $v$  are all **distinct** and different from the endpoints



« Fundamental Theorem of Arithmetic » on  $\mathcal{G}$  (P.-L. Giscard, 2012)

- $w$  factor *uniquely* into **prime** elements, i.e. **simple paths** and **simple cycles**
- if  $\mathcal{G}$  is **finite** the number of primes is **finite**
- resummation of all  $w$  involves a **finite** number of operations: **sum on simple paths** and **continuous fraction of simple cycles** with vertex removal

## Power series of $A_g$

---

$$\text{ex.: } \exp[A_g] = \sum_{k=0}^{\infty} \frac{1}{n!} A_g^k$$

$$(A_g)^k = \begin{pmatrix} \dots & (A_g)^k_{\omega\alpha} & \dots \\ \vdots & \vdots & \vdots \end{pmatrix}$$

*to keep in mind:  
each element of  $A_g^k$  is given by the  
sum of the weighted  $\omega$  of length  $k$  (standard  $\times$  operation)*

## Power series of $\mathbf{A}_G$

$$\text{ex.: } \exp[\mathbf{A}_G] = \sum_{k=0}^{\infty} \frac{1}{n!} \mathbf{A}_G^k$$

$$(\mathbf{A}_G)^k = \begin{pmatrix} \dots & (\mathbf{A}_G)^k_{\omega\alpha} & \dots \\ \vdots & \vdots & \vdots \end{pmatrix}$$

$$F(\mathbf{A}_G)_{\omega\alpha} = \sum_{k=0}^{\infty} c_k \sum w_{G, \alpha\omega; k} a_{\omega h_k} \dots \times a_{h_3 h_2} \times a_{h_2 \alpha}$$

power series of  $\mathbf{A}_G$

all weighted walks  $w$  from  $v_\alpha$  to  $v_\omega$  of length  $k$

## Power series of $A_g$

ex.:  $\exp[A_g] = \sum_{k=0}^{\infty} \frac{1}{n!} A_g^k$

$$(A_g)^k = \begin{pmatrix} \dots & (A_g)_{\omega\alpha}^k & \dots \\ \vdots & \ddots & \vdots \end{pmatrix}$$

$F(A_g)_{\omega\alpha} = \sum_{k=0}^{\infty} c_k \sum w_{g, \alpha\omega; k} a_{\omega h_k} \dots \times a_{h_3 h_2} \times a_{h_2 \alpha}$

power series of  $A_g$

all weighted walks  $w$  from  $v_\alpha$  to  $v_\omega$  of length  $k$

Path-Sum

« Fundamental Theorem of Arithmetic » on  $g$  (P.-L. Giscard, 2012)

- $w$  factor *uniquely* into **prime** elements, i.e. **simple paths** and **simple cycles**
- if  $g$  is finite the number of primes is finite
- resummation of all  $w$  involves a finite number of operations: **sum on simple paths** and **continuous fraction of simple cycles** with vertex removal

## Power series of $A_g$

ex.:  $\exp[A_g] = \sum_{k=0}^{\infty} \frac{1}{n!} A_g^k$

$$(A_g)^k = \left( \begin{array}{c} \dots \\ : (A_g)_{\omega\alpha}^k : \\ \dots \end{array} \right)$$

$F(A_g)_{\omega\alpha} = \sum_{k=0}^{\infty} c_k \sum_{w_{G, \alpha\omega; k}} a_{\omega h_k} \dots \times a_{h_3 h_2} \times a_{h_2 \alpha}$

power series of  $A_g$

all weighted walks  $w$  from  $v_\alpha$  to  $v_\omega$  of length  $k$

## Path-Sum

$F(A_g)_{\omega\alpha} = \sum_{P_{G, \alpha\omega; \ell}} f(a_{\omega\omega}) \times a_{\omega\mu_\ell} \dots f(a_{\mu_2\mu_2}) a_{\mu_2\alpha} \times f(a_{\alpha\alpha})$

sum on the finite set of

simple paths  $P$  of length  $\ell$

edge weight

effective  $v$  weight

sum over the finite set of simple cycles  $C$   
 (continued fraction of finite breadth)

$$\mathbf{A}_g(t) = \begin{pmatrix} & \cdots & \\ \langle s_\omega | \mathbf{A}(t) | s_\alpha \rangle & & \\ & \cdots & \end{pmatrix}$$

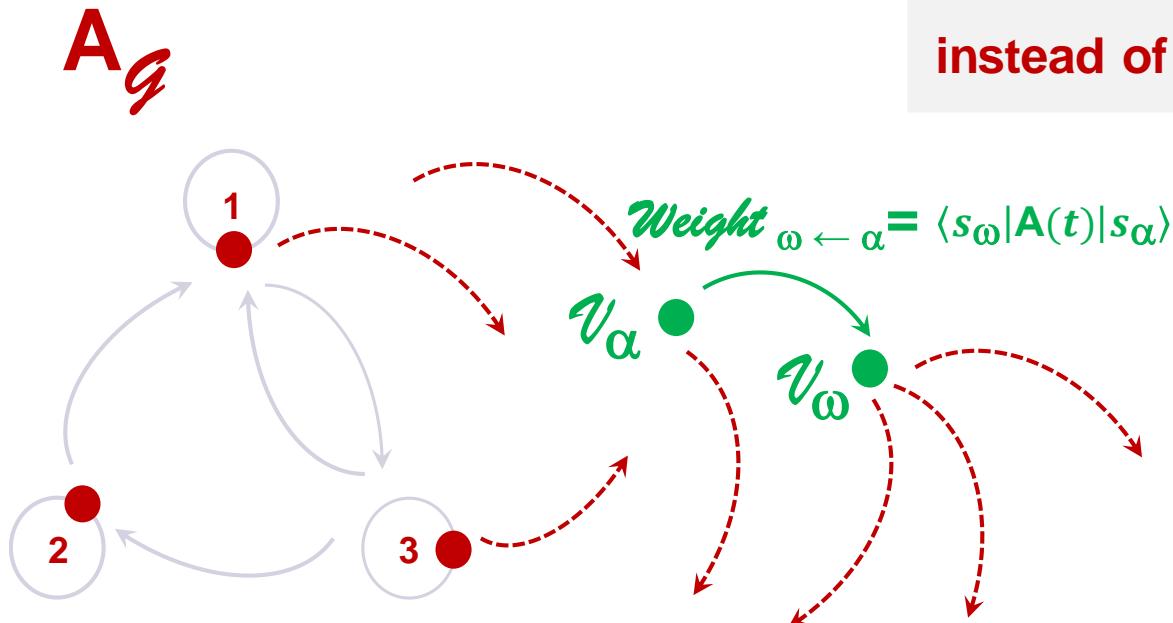
$$\text{OE}[\mathbf{A}_g](t', t) = \begin{pmatrix} & \cdots & \\ \langle s_\omega | \text{OE}[\mathbf{A}_g](t', t) | s_\alpha \rangle & & \\ & \cdots & \end{pmatrix}$$

$\sum$  ALL weighted walks  $\omega \leftarrow \alpha$  on  $\mathbf{A}_g$

but using  $\star$ -product

$$(f \star g) = \int_t^{t'} f(t', \tau) g(\tau, t) d\tau$$

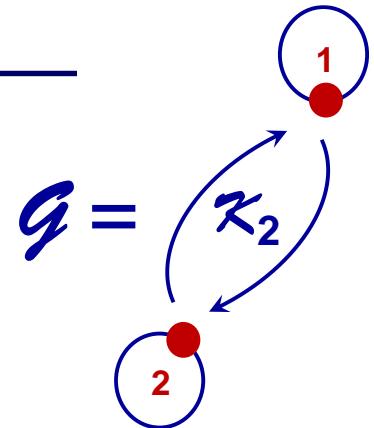
instead of  $\times$



Path-Sum

## An example: $2 \times 2$ matrix

$$\mathbf{A}(t) = \begin{pmatrix} a_{11}(t) & a_{12}(t) \\ a_{21}(t) & a_{22}(t) \end{pmatrix}$$



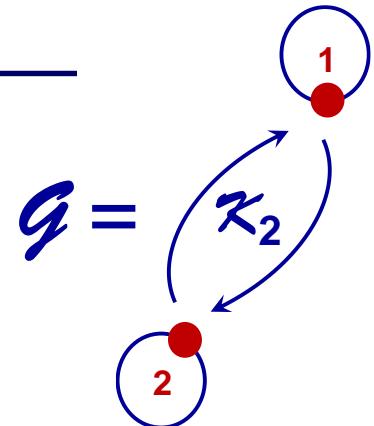
Path-Sum

$$OE[\mathbf{A}](t', t) = \begin{pmatrix} \int_t^{t'} G_{K_2,11}(t', \tau) d\tau & OE_{12}(t', t) \\ OE_{21}(t', t) & \int_t^{t'} G_{K_2,22}(t', \tau) d\tau \end{pmatrix}$$

- ▶ **entry** → solving an equation with **analytical tools**
- ▶ **finite** number of operations → **unconditional convergence**
- ▶ **non perturbative** formulation of OE
- ▶ **scalability**

## An example: $2 \times 2$ matrix

$$\mathbf{A}(t) = \begin{pmatrix} a_{11}(t) & a_{12}(t) \\ a_{21}(t) & a_{22}(t) \end{pmatrix}$$



Path-Sum

$$\text{OE}[\mathbf{A}](t', t) = \begin{pmatrix} \int_t^{t'} G_{K_2,11}(t', \tau) d\tau & \text{OE}_{12}(t', t) \\ \text{OE}_{21}(t', t) & \int_t^{t'} G_{K_2,22}(t', \tau) d\tau \end{pmatrix}$$

« Fundamental Theorem of Arithmetic » on  $\mathcal{G}$  (P.-L. Giscard, 2012)

- $\mathcal{G}$  factor *uniquely* into **prime** elements, i.e. **simple paths** and **simple cycles**
- if  $\mathcal{G}$  is *finite* the number of primes is *finite*
- resummation of all  $\mathcal{G}$  involves a *finite* number of operations: **sum on simple paths** and **continuous fraction of simple cycles** with vertex removal



## An example: $2 \times 2$ matrix

$$(f * g) = \int_t^{t'} f(t', \tau) g(\tau, t) d\tau$$

$$\text{OE}[\mathbf{A}](t', t) = \begin{pmatrix} \int_t^{t'} G_{K_2,11}(t', \tau) d\tau & \text{OE}_{12}(t', t) \\ \text{OE}_{21}(t', t) & \int_t^{t'} G_{K_2,22}(t', \tau) d\tau \end{pmatrix}$$

$$[1_* - (* \ * \ * \dots)]^{*-1} = \sum_{n \geq 0} (* \ * \ * \dots)^{*n}$$

Neumann series (analytical)  
linear Volterra (2<sup>nd</sup> kind) (numerical)

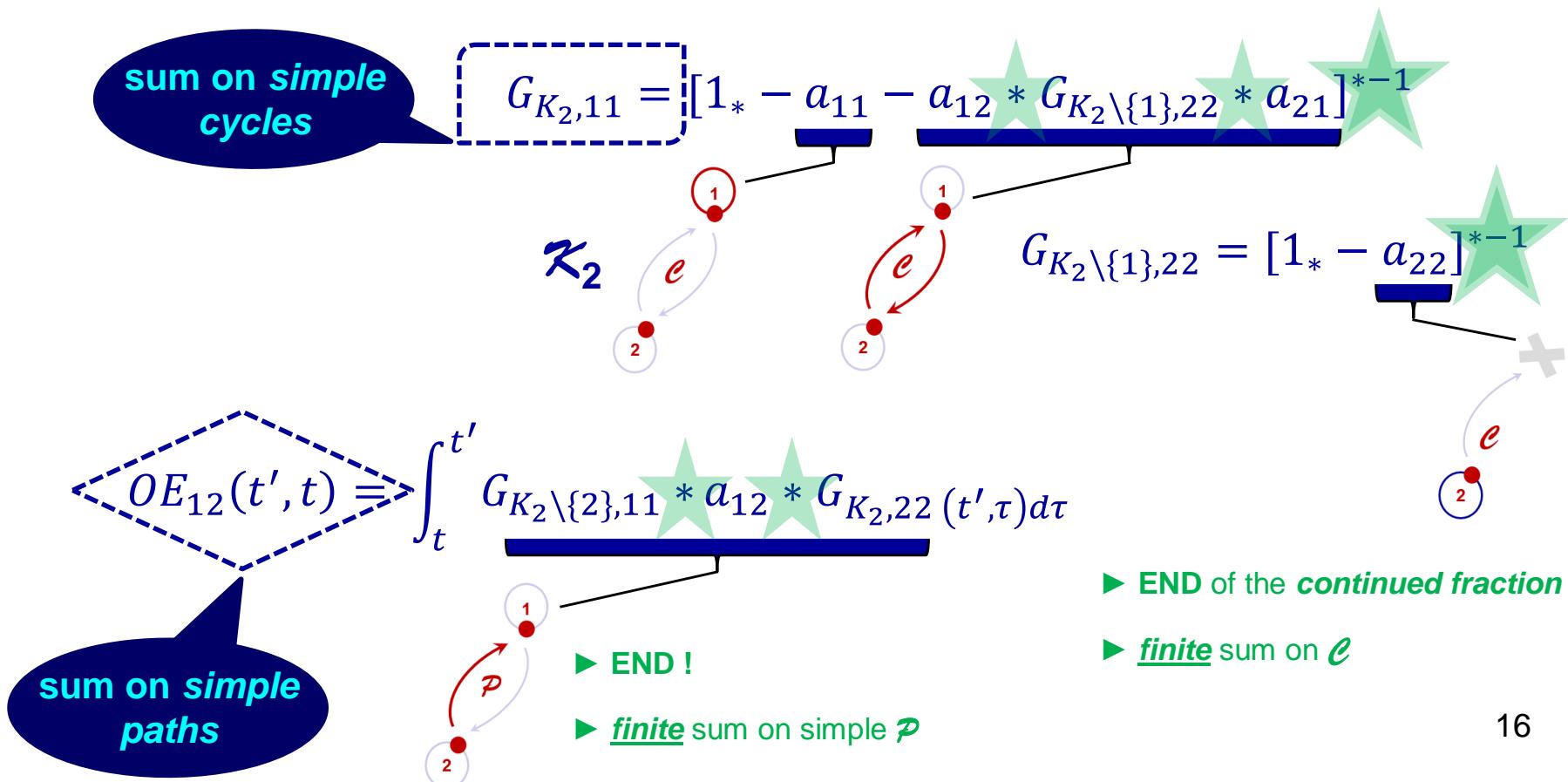
## An example: $2 \times 2$ matrix

$$(f * g) = \int_t^{t'} f(t', \tau) g(\tau, t) d\tau$$

$$[1_* - (* \ * \ * \dots)]^{*-1} = \sum_{n \geq 0} (* \ * \ * \dots)^{*n}$$

$$\text{OE}[A](t', t) = \begin{pmatrix} \int_t^{t'} G_{K_2,11}(t', \tau) d\tau \\ OE_{21}(t', t) \\ a_{ij}(t) \\ \int_t^{t'} G_{K_2,22}(t', \tau) d\tau \end{pmatrix}$$

Neumann series (analytical)  
linear Volterra (2<sup>nd</sup> kind) (numerical)



## Summary (partial)

---

- ▶ ... take a ***finite*** matrix  $\mathbf{A}_g(t)$  associated to  $g$  (Hermitian or not, periodic or not...)
- ▶ each entry of  $\mathbf{A}_g$  is given by a ***finite*** number of operations by using Path-Sum (with  product)
- ▶ each entry of  $\text{OE}[\mathbf{A}_g](t', t)$  is given by a ***finite*** number of operations by using Path-Sum (with -product and  $[\mathbf{1}_* - (* * * \dots)]^{*-1}$ ) 

## Summary (partial)

---

- ▶ ... take a ***finite*** matrix  $\mathbf{A}_g(t)$  associated to  $g$  (Hermitian or not, periodic or not...)
- ▶ each entry of  $\mathbf{A}_g$  is given by a ***finite*** number of operations by using Path-Sum (with  product)
- ▶ each entry of  $\text{OE}[\mathbf{A}_g](t', t)$  is given by a ***finite*** number of operations by using Path-Sum (with -product and  $[\mathbf{1}_* - (* * * \dots)]^{*-1}$ ) 
- the ***matrix*** nature of the problem is ***fully replaced*** when working on ***entries***
- or, one can keep it partially... → **PARTITIONS (scalability)** 
- the ***convergence*** of the Neumann series (***analytical***) is ***superexponential***
- a convenient (***numerical***) approach: linear **Volterra** equations (***2<sup>nd</sup> kind***)

## Outline

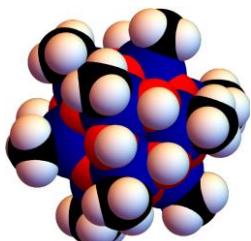
---

■ Basic results of algebraic graph theory

■ Path-Sum applied to the ordered exponential (OE)

■ Applications:

- ▶ Circularly polarized excitation
- ▶ Linearly polarized excitation, Bloch-Siegert (BS) effect
- ▶ N spins homonuclear dipolar Hamiltonian,  $H_D$



## Applications – Circularly polarized excitation (test model)

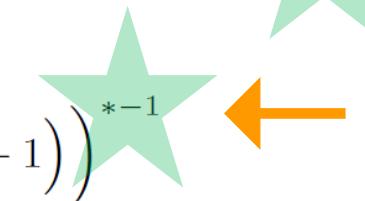
$$\mathbf{H}(t) = \begin{pmatrix} \frac{\omega_0}{2} & \beta e^{-i\omega t} \\ \beta e^{i\omega t} & -\frac{\omega_0}{2} \end{pmatrix}, [\mathbf{H}(t'), \mathbf{H}(t)] \neq 0$$

$$\mathbf{H}(t) = \frac{1}{2}\omega_0 \sigma_z + \beta[\sigma_x \cos(\omega t) + \sigma_y \sin(\omega t)]$$

$[1_* - (* * * \dots)]^{*-1}$

Path-Sum

$$G_{K_2,11}(t) = \left( 1_* - \frac{\omega_0}{2i} + \frac{i\beta^2}{\Delta} \left( e^{-i\Delta(t'-t)} - 1 \right) \right)^{*-1}$$



Neumann series

$$OE[-i\mathbf{H}](t)_{11} = 1 + \sum_{n=0}^{\infty} \frac{(-it\beta^2/\Delta)^{n+1}}{(n+1)!} \sum_{k=0}^{n+1} \binom{n+1}{k} \left( \frac{\Delta\omega_0}{2\beta^2} - 1 \right)^k {}_2F_1 \left( -k, -k+n+1; -n-1; \frac{\Delta^2}{\Delta\omega_0 - \beta^2} \right)$$

Gauss hypergeometric



OE $[-i\mathbf{H}](t)$

$$\begin{pmatrix} e^{-\frac{1}{2}it(\Delta + \frac{\omega_0}{2})} (\cos(\alpha t/2) + \frac{i}{\alpha} (\Delta - \frac{\omega_0}{2}) \sin(\alpha t/2)) & -\frac{2i\beta}{\alpha} e^{-\frac{1}{2}it(\Delta + \frac{\omega_0}{2})} \sin(\alpha t/2) \\ -\frac{2i\beta}{\alpha} e^{\frac{1}{2}it(\Delta + \frac{\omega_0}{2})} \sin(\alpha t/2) & e^{\frac{1}{2}it(\Delta + \frac{\omega_0}{2})} (\cos(\alpha t/2) - \frac{i}{\alpha} (\Delta - \frac{\omega_0}{2}) \sin(\alpha t/2)) \end{pmatrix}$$

$$\mathbf{U}(t) = \exp\left(-\frac{1}{2}i\omega t \sigma_z\right) \exp\left(-it\left(\frac{1}{2}(\omega_0 - \omega)\sigma_z + \beta\sigma_x\right)\right)$$

## Applications – Linearly polarized excitation, Bloch-Siegert (BS) effect

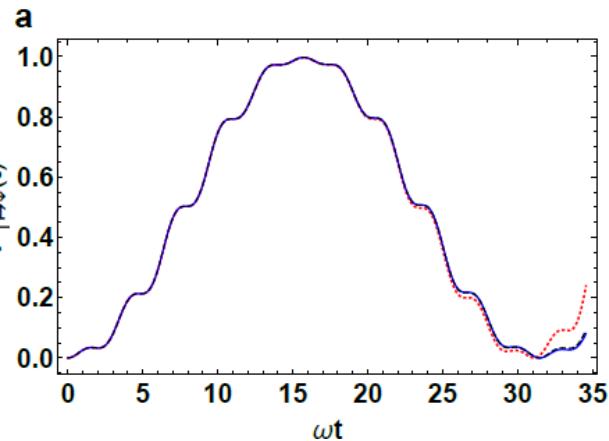
$$\mathbf{H}(t) = \frac{1}{2} \omega_0 \boldsymbol{\sigma}_z + 2\beta \boldsymbol{\sigma}_x \cos(\omega t)$$

$$\mathbf{H}(t) = \begin{pmatrix} \frac{\omega_0}{2} & 2\beta \cos(\omega t) \\ 2\beta \cos(\omega t) & -\frac{\omega_0}{2} \end{pmatrix}$$

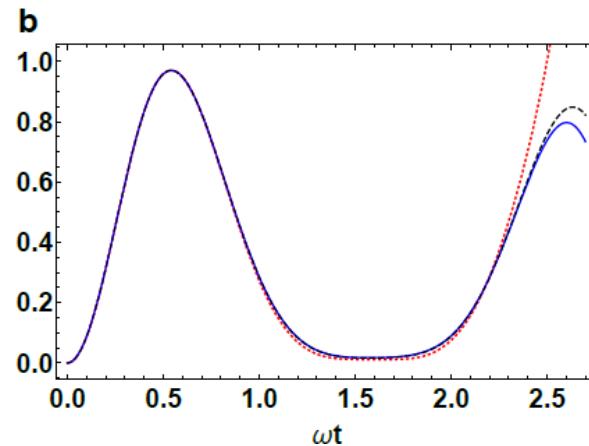
**P(t) transition probability**

$\omega = \omega_0$  or  $\omega \neq \omega_0$

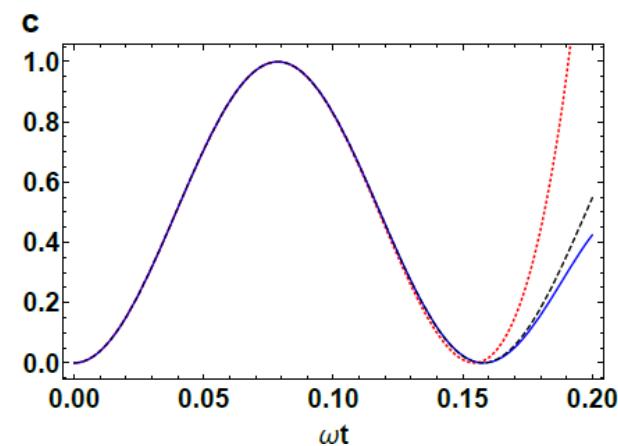
↓



$$\beta/\omega = 1/10$$



$$\beta/\omega = 3/2$$

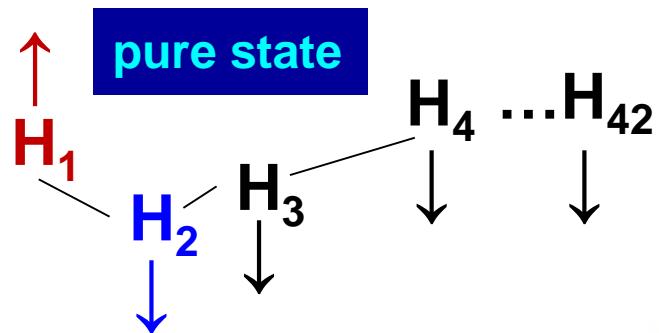


$$\beta/\omega = 10$$

► analytical expression with few orders of the Neumann series

# Applications – N spin systems, homonuclear dipolar Hamiltonian, $H_D$

$t = 0$



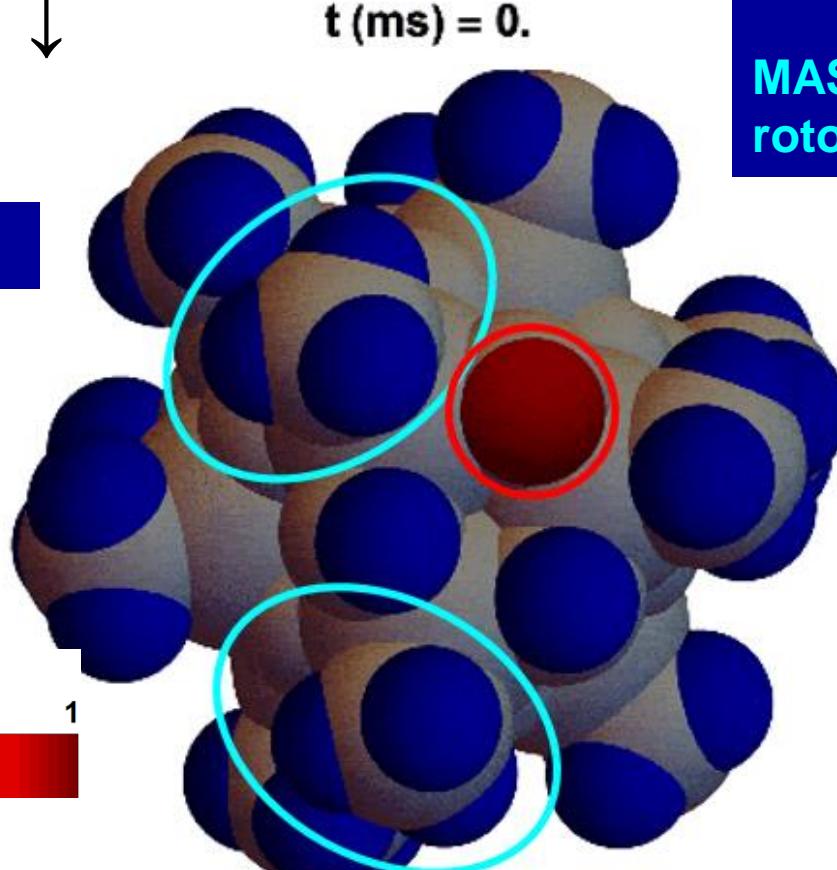
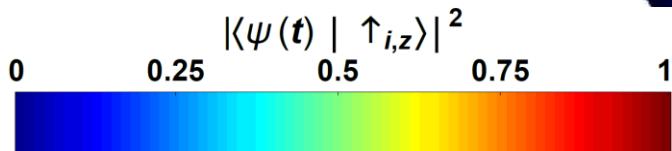
Coll.: F. Ribot, France



42 protons  
« rigid »  $\text{CH}_3$

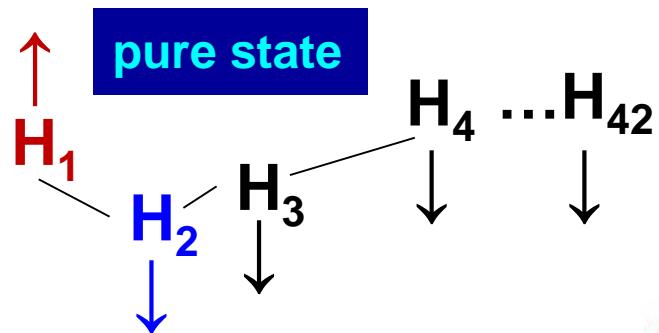
MAS 10 kHz  
rotor period 0.1 ms

analytical expression



# Applications – N spin systems, homonuclear dipolar Hamiltonian, $H_D$

$t = 0$



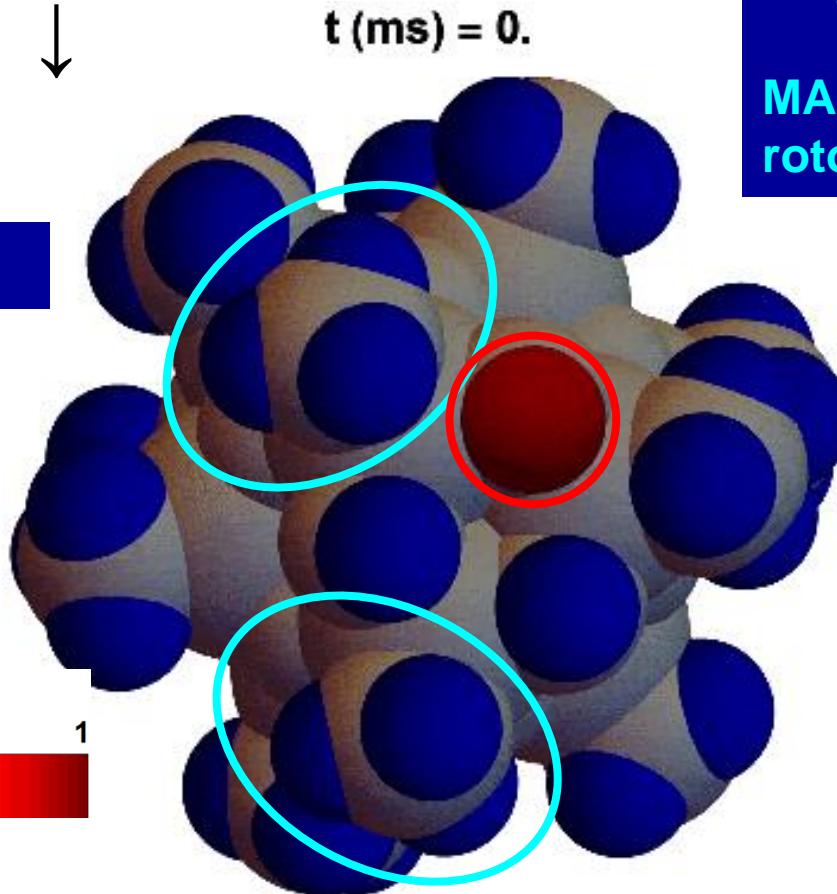
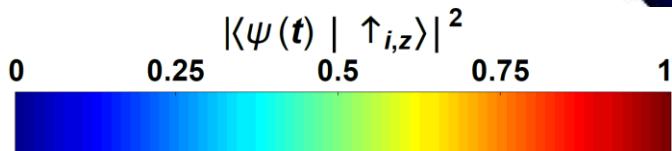
Coll.: F. Ribot, France



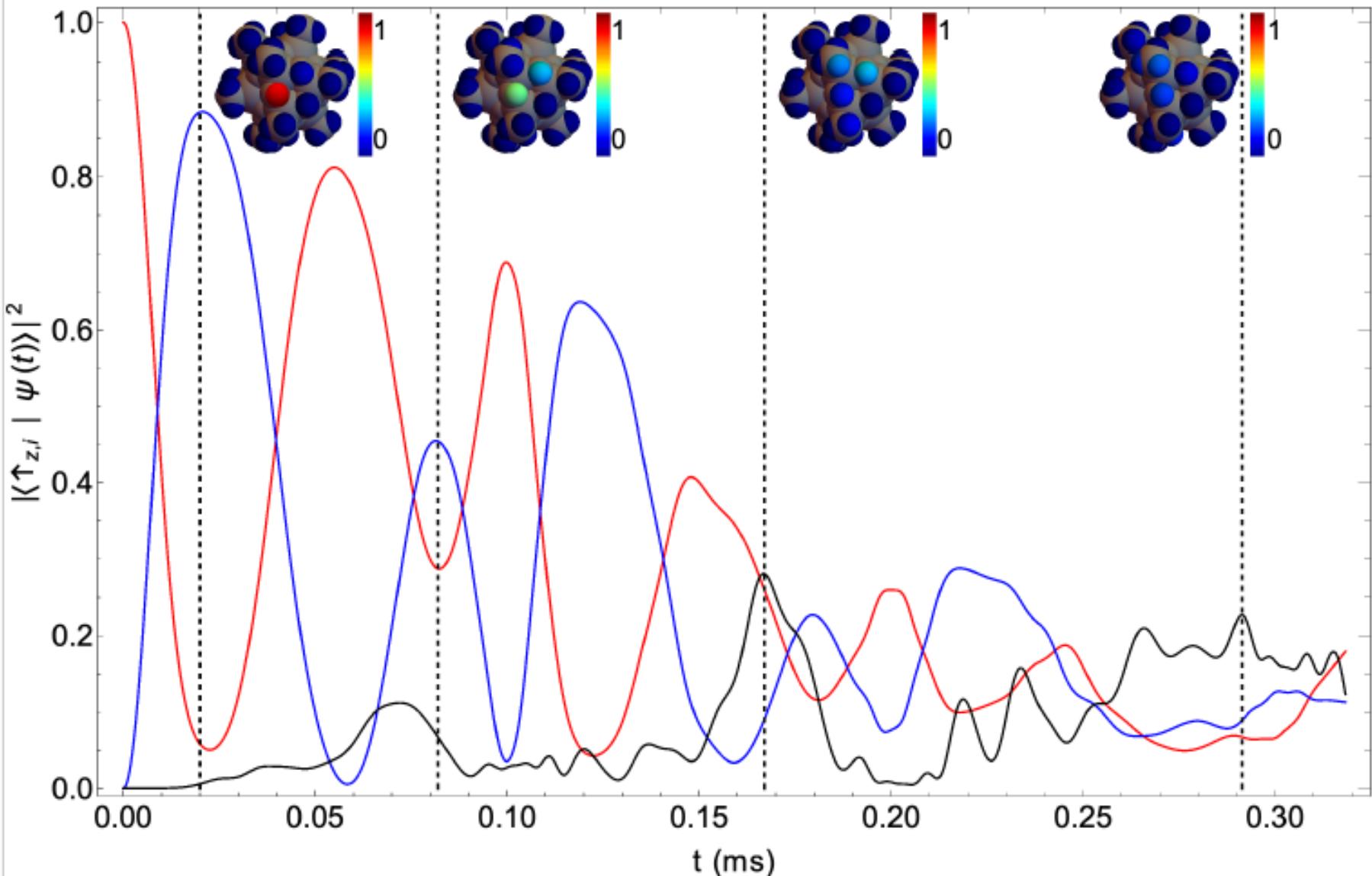
42 protons  
« rigid »  $\text{CH}_3$

MAS 10 kHz  
rotor period 0.1 ms

analytical expression



## Applications – N spin systems, homonuclear dipolar Hamiltonian, $H_D$



# Conclusions and acknowledgments

## Path-Sum

- ▶ a new approach
- ▶ analytical expression for  $U(t)$
- ▶ unconditional convergence
- ▶ non perturbative formulation
- ▶ scalable to large spin systems
- ▶ other theory/applications to come...



(very) warm thanks to P.-L. Giscard

Ass. Pr. in Calais, France

Liouville laboratory

*Algebraic Combinatorials*

*giscard@univ-littoral.fr*



Post doctoral position available in Paris: on NMR instrumentation & DNP